



Lion Street Financial Privacy Policy

FACTS	WHAT DOES LION STREET FINANCIAL, LLC DO WITH YOUR PERSONAL FINANCIAL INFORMATION?
Why?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share and protect your personal information. Please read this notice carefully to understand what we do.
What?	The types of personal information we collect and share depend on the product or service you have with us. This information can include: <ul style="list-style-type: none"> • Social security number • Medical information • Assets, income, and employment history • Account balances, transaction history, and payment history • Credit history and insurance claim history • Checking account information and wire transfer instructions]
How?	All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their client's personal information; the reasons Lion Street Financial chooses to share; and whether you can limit this sharing.

REASONS WE CAN SHARE YOUR PERSONAL INFORMATION:	Does Lion Street Financial share?	Can you limit this sharing?
For our everyday business purposes – such as to process your transactions, maintain your account(s), and to respond to regulators	Yes	No
For our marketing purposes – to offer our products and services to you	No	We do not share
For joint marketing with other financial companies	No	We do not share
For our affiliates' everyday business purposes – Information about your transactions and experiences	Yes	No
For our affiliates' everyday business purposes – Information about your creditworthiness	No	We do not share
For our affiliates to market to you	No	We do not share
For non-affiliates to market to you	No	We do not share
If the advisor servicing your account(s) leaves to join another financial institution, the advisor may retain copies of your personal information so that he or she can continue to serve you at their new firm. In the event of such a transition, your advisor should not share your information with a third party without your consent other than as necessary to continue to provide you services. Please note: Certain states require affirmative consent to allow sharing. See below for more on your rights under state law. In the event that an advisor terminates his or her relationship with a Lion Street Financial and you want to follow your advisor to his or her new firm, please do not request to limit our sharing.	Yes	Yes
To limit our sharing:	<ul style="list-style-type: none"> • Call Lion Street Financial at 512.776.8400 • Mail your request to Lion Street Financial <ul style="list-style-type: none"> – Attn: Compliance, 515 Congress Ave, Suite 2500, Austin, TX 78701 Please note: if you are a new customer, we can begin sharing your information from the date we provided you with this notice. When you are no longer our customer, we continue to share your information as described in this notice; however, you may contact us at any time to limit our sharing.	
Questions?	Call 512.776.8400 or go to www.lionstreet.com	

LION STREET FINANCIAL PRIVACY POLICY

Page 2 of 2

WHAT WE DO	
How do we protect personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.
How do we collect personal information?	<p>We collect your personal information, for example, when you:</p> <ul style="list-style-type: none">• Open an account and otherwise provide us account information• Seek advice about your investments• Apply for insurance• Make deposits or withdrawals from your account• Tell us about your investment and retirement portfolios <p>We also collect your personal information from others, such as credit bureaus, affiliates, or other companies.</p>
Why can't I limit all sharing?	<p>Federal law gives you the right to limit only:</p> <ul style="list-style-type: none">• Sharing for affiliates' everyday business purposes – information about your creditworthiness• Affiliates from using your information to market to you• Sharing for non-affiliates to market to you <p>State laws and individual companies may give you additional rights to limit sharing.</p>

DEFINITIONS	
Affiliates	<p>Companies related by common ownership or control. They can be financial and non-financial companies.</p> <p><i>Lion Street Advisors, LLC and Lion Street, Inc.</i></p>
Non-affiliates	<p>Companies not related by common ownership or control. They can be financial and non-financial companies.</p> <p><i>These include broker/dealers, mutual fund companies, insurance companies and other financial institutions.</i></p>
Joint marketing	<p>A formal agreement between non-affiliated financial companies that together market financial products or services to you.</p> <p><i>Lion Street Financial does not do joint marketing.</i></p>