



LION STREETTM ADVISORS

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This Brochure Supplement provides information about Gregory Johnson that supplements the Lion Street Advisors, LLC Brochure. You should have received a copy of that Brochure. Please contact Advisor Services at 512-776-8400 if you did not receive Lion Street Advisors, LLC Brochure or if you have any questions about the contents of this supplement.

Additional information about Gregory Johnson is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2: Educational Background and Business Experience

Born: September 21, 1963

Formal Education after High School

- Texas Tech University Bachelors in Economics

Relevant Work History

- **Stiba Wealth Management- Financial Advisor (January 2019-Present)**
- **Lion Street Financial – Registered Rep (January 2019 – Present)**
- **Lion Street Advisors – Investment Advisor (July 2020-Present)**
- **Bank Owned Mortgage Company- Senior VP (September 1986 – April 2010)**
- **Vining Sparks IBG, L.P. - Senior VP (April 2010 – December 2014)**
- **Summit Financial Advisors- Insurance & Investment Advisor (Jan 2015 – Dec 2018)**

Professional Licenses/Designations

Series 7 - General Securities Representative Exam (Stockbroker)

To obtain the Series 7 an initial qualifying exam administered by the Financial Industry Regulatory Authority ("FINRA") must be passed. Continuing Education requirements include attending an Annual Compliance Meeting and Firm Element Training. Also required to be taken is a computer-based program within 120 days of the second anniversary of obtaining the registration and every three years thereafter.

Series 63 -Uniform Securities Agent State Law Exam

To obtain the Series 63 an initial qualifying exam administered by the Financial Industry Regulatory Authority ("FINRA") must be passed. Continuing Education requirements include attending an Annual Compliance Meeting and Firm Element Training. Also required to be taken is a computer-based program within 120 days of the second anniversary of obtaining the registration and every three years thereafter.

Series 65 – Uniform Registered Investment Adviser Law Exam

To obtain the Series 65 an initial qualifying exam administered by the Financial Industry Regulatory Authority ("FINRA") must be passed. Continuing Education requirements include attending an Annual Compliance Meeting and Firm Element Training. Also required to be taken is a computer-based program within 120 days of the second anniversary of obtaining the registration and every three years thereafter.

Item 3: Disciplinary Information

Client and prospective clients are encouraged to view the registration records for Gregory Johnson through the SEC's Investment Advisor Public Disclosure (IAPD) website at www.advisorinfo.sec.gov or FINRA's Broker Check database at www.finra.org/brokercheck.

Item 4: Other Business Activities

The IAR is engaged in the following non-investment-related business activities:

Gregory Johnson is Financial Advisor. From time to time, consultants with the contract department. He may receive separate, yet typical, compensation for this business. Gregory Johnson is a licensed insurance agent. From time to time, he will offer clients advice or products from this activity.

This practice represents a conflict of interest because it gives an incentive to recommend products and services based on the commissions or fees received rather than on the client's needs. This conflict is mitigated by disclosures, procedures, and the firm's Fiduciary obligation to place the best interest of the client first, and the clients are not required to purchase any products or services.

Clients always have the right to choose to decide whether to act upon the recommendations of the firm. The client always has the right to affect the recommendations through the professional of their choosing

Item 5: Additional Compensation

Gregory Johnson may receive cash and non-cash compensation from certain third-party product sponsors as permitted by industry rules. For example, product sponsors and other companies may reimburse IAR up to 100% of the cost of due diligence, training, and education/joint marketing meetings. In addition, sales by IARs may qualify them for additional compensation, including support for their business activities, attendance at seminars, and entertainment.

Gregory Johnson will also receive compensation from third-party investment advisors for referring client accounts to the third party for account management. The third-party will pay the IAR a solicitation fee for the referral. The IAR may also serve as the registered representative of record on the assets managed by the third-party investment advisor. When this is the case, the IAR will receive normal and customary compensation (e.g., commissions, 12b-1 fees, trails) for the purchase of the investments. This compensation is in addition to the referral fee paid by the third-party advisor.

Item 6: Supervision

We have adopted a system of compliance and supervision we believe is reasonably designed to oversee the activities of our Advisors in accordance with applicable law. We assign supervisors to oversee the activities of our Advisors conducted through our company. The designated supervisor of an Advisor may vary from time to time. If you have any questions or concerns, please contact our Compliance department at 512.776.8400.