



# LION STREET<sup>TM</sup> ADVISORS

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October 25, 2023

**This Brochure Supplement provides information about Matthew J. Boudreaux that supplements the Lion Street Advisors, LLC Brochure. You should have received a copy of that Brochure. Please contact Advisor Services at 512-776-8400 if you did not receive Lion Street Advisors, LLC Brochure or if you have any questions about the contents of this supplement.**

**Additional information about Matthew J. Boudreaux is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## **Item 2: Educational Background and Business Experience**

**Born:** March 9, 1978

### **Formal Education after High School**

- Bachelor of Arts, Evangel University

### **Relevant Work History**

- **Calibrate Wealth Partners – Financial Advisor (November 2022 - Present)**
- **Lion Street Advisors – Financial Advisor (October 2023 - Present)**
- **Lion Street Financial – Registered Rep (November 2022 - Present)**
- **Cambridge Investment Research – Registered Rep (2017 - 2022)**
- **NYLIFE Securities – Financial Professional (2016 - 2017)**

### **Professional Licenses/Designations**

#### **Series 6 - Investment Company/Variable Contracts Products Limited Representative**

To obtain the Series 6 an initial qualifying exam administered by the Financial Industry Regulatory Authority ("FINRA") must be passed. Continuing Education requirements include attending an Annual Compliance Meeting and Firm Element Training. FINRA also requires annual computer-based continuing education.

#### **Series 63 – Uniform Securities Agent State Law Exam**

To obtain the Series 63, an initial qualifying exam administered by the Financial Industry Regulatory Authority (FINRA) must be passed. Continuing Education requirements include attending an Annual Compliance Meeting and Firm Element Training. A computer-based program within 120 days of the second anniversary of obtaining the registration and every three years thereafter is also required.

#### **Series 65 – Uniform Registered Investment Adviser Law Exam**

To obtain the Series 65 an initial qualifying exam administered by the Financial Industry Regulatory Authority ("FINRA") must be passed. Continuing Education requirements include attending an Annual Compliance Meeting and Firm Element Training. NASAA has implemented annual computer-based continuing education. Required in the following states: AR, CO, KY, MD, MI, MS, OK, OR, SC, TN, VT, DC, WI.

### **Item 3: Disciplinary Information**

Client and prospective clients are encouraged to view the registration records for Matthew J. Boudreaux through the SEC's Investment Advisor Public Disclosure (IAPD) website at [www.advisorinfo.sec.gov](http://www.advisorinfo.sec.gov) or FINRA's Broker Check database at [www.finra.org/brokercheck](http://www.finra.org/brokercheck).

### **Item 4: Other Business Activities**

The IAR is engaged in the following non-investment-related business activities: Matthew Boudreaux is a Financial Advisor. From time to time, consultants with the contract department. He may receive separate, yet typical, compensation for this business. Matthew Boudreaux has a financial industry-affiliated business as a licensed insurance agent. From time to time, he will offer clients advice or products from this activity.

This practice represents a conflict of interest because it gives an incentive to recommend products and services based on the commissions or fees received rather than on the client's needs. This conflict is mitigated by disclosures, procedures, and the firm's Fiduciary obligation to place the best interest of the client first, and the clients are not required to purchase any products or services.

Clients always have the right to choose to decide whether to act upon the recommendation of the firm. The client always has the right to affect the recommendations through the professional of their choosing.

### **Item 5: Additional Compensation**

Matthew Boudreaux may receive cash and non-cash compensation from certain third-party product sponsors as permitted by industry rules. For example, product sponsors and other companies may reimburse IAR up to 100% of the cost of due diligence, training, and education/joint marketing meetings. In addition, sales by IARs may qualify them for additional compensation, including support for their business activities, attendance at seminars, and entertainment.

Matthew Boudreaux will also receive compensation from third-party investment advisors for referring client accounts to the third party for account management. The third party will pay the IAR a solicitation fee for the referral. The IAR may also serve as the registered representative of record on the assets managed by the third-party investment advisor. When this is the case, the IAR will receive normal and customary compensation (e.g., commissions, 12b-1 fees, trails) for the purchase of the investments. This compensation is in addition to the referral fee paid by the third-party advisor.

### **Item 6: Supervision**

We have adopted a system of compliance and supervision we believe is reasonably designed to oversee the activities of our Advisors in accordance with applicable law. We assign supervisors to oversee the activities of our Advisors conducted through our company. The designated supervisor of an Advisor may vary from time to time. If you have any questions or concerns, please contact our Compliance department at 512.776.8400.